

Hong Kong Stock Market Service Fee / ETF Subscription / ETF Redemption

更新日期: 18/Dec/2023

Fee / Charges in First Market

| ** 只適用於 ETF認購/贖回程序 Applicable for ETF Subscription / ETF Redemption ONLY | |
|---|--|
| 申請手續費 - 每次 (Application Charge - per each applicatio | USD1,300 |
| 參與交易商佣金 (Commission from other participant dealer(s | 0.05% |
| 經紀佣金 (Commission) | min HK\$100, or 0.05% of the trading amount. |
| 參與交易商交收所產生之費用 | HK\$250 |
| 中央結算費 (CCASS Fee) | 0.01% |
| <p>本子基金持續繳付的費用 Ongoing fees payable by the Sub-Fund</p> <p>以下收費將從本子基金中扣除，閣下會受到影響，因為子基金的資產淨值會因而減少，從而影響買賣價格。 The following expenses will be paid out of the Sub-Fund. They affect you because they reduce the Net Asset Value of the Sub-Fund which may affect the trading price.</p> | |

Fee and Charges in Second Market

| 項目 Item | 港元收費 (HKD) |
|--|--|
| 交易費用 | |
| 經紀佣金 (Commission) | (charges on gross consideration) min HK\$100, or 0.25% of the trading amount. |
| *最低收費為 100港元, 或交易金額的0.05% (以較高者為準) | |
| 市場交易費 (Market Charge) : | (charges on gross consideration) |
| 交易費 (Trading Fee) | 0.00565% |
| 交易征費 (Transaction Levy) | 0.00285% |
| 0.0027% 證監交易徵費及 0.00015% 財匯局徵費 0.0027% SFC Levy & 0.00015% FRC Levy; 相關徵費分別計算至最接近的仙位數 Round up to nearest cent each | |
| 中央結算費 (CCASS Fee) | 0.01% |
| 最低收費為 5元 · 最高 300元 (Min Charge \$5; Max Charge \$300) | |
| 印花稅 (Stamp Duty) | 0.13% |
| 不足一元亦作一元計 (Rounded up to the nearest dollar) | |
| 交易系統使用費 (Trading Tariff) | 豁免 (Waive) |
| 投資者賠償征費 (Investor Compensation Levy) | 暫停 (Suspended) |

ETFs Management Fee

| 費用 Fee | 每年收費率 Annual Rate |
|--|--|
| Management fee 管理費 (3056) | 0.75% p.a. of the Sub-Fund's Net Asset Value 子基金每年資產淨值的0.75% |
| Management fee 管理費 (3112) | 0.99% p.a. of the Sub-Fund's Net Asset Value 子基金每年資產淨值的0.99% |
| 保管人和基金行政管理費 Custodian and fund administration fee | 最高為子基金每年資產淨值的0.3% (每月下限為 4,500美元) Up to 0.3% p.a. of the Sub-Fund's Net Asset Value (subject to a monthly minimum fee of USD4 500) |
| 表現費 Performance fee | 不適用 Not applicable |
| 過戶登記處費 Registrar fee | 每月500美元 USD500 per month |

* 請注意，此費用可在提前一周向股東發出事先通知後增加，惟以允許的最高收費率為限。有關詳情，請參閱發行章程「費用及支出」一節。

* Please note that such a fee may be increased up to a permitted maximum rate by providing 1 week's prior notice to shareholders. Please refer to the "FEES AND EXPENSES" section of the Prospectus for details.

其他費用 Other fees

閣下買賣子基金的股份或須繳付其他費用。有關詳情，請參閱發行章程「費用及支出」一節。

You may have to pay other fees when dealing in Shares of the Sub-Fund. Please refer to the "FEES AND EXPENSES" section of the Prospectus for details.

Other Fee and Charges

交收股票 Depository Services

經由中央結算交收 Through CCASS :

| | |
|--------------|---|
| 存入股票 Receive | 豁免 (Waive) 每隻股票每手10元，每宗最低收費150元 |
| 提取股票 Deliver | (\$10 per lot per stock, min \$150 per stock per transaction) |

現貨股票 - 存入/提取 Physical scrip deposit/withdrawal

| | |
|--------------|---|
| 存入股票 Receive | 豁免 (Waive) 每隻股票每手10元，每宗最低收費150元 |
| 提取股票 Deliver | (\$10 per lot per stock, min \$150 per stock per transaction) |

代理人服務 Nominee Services

登記及過戶費 Scrip Fee 每手 5港元 (\$5 per lot)

代收現金股息/以股代息 Cash Dividend Collection :

手續費 Handling Charge 0.8%股息金額 (0.8% of dividend amount)
最低收費為 50元，最高 4,000元 (Min Charge \$50, Max Charge \$4,000)

代收紅股/代收紅利認股證 Open Offers/ Bonus Shares

Distribution :

| | |
|------------------------------------|---------|
| 代收紅股 Distribution of Bonus Shares | 免費 Free |
| 代收供股權證 Distribution of open offers | 免費 Free |

行使供股權證 Rights Subscription :

| | |
|------------------------------|-------------------------|
| 手續費 Handling Charge | \$30 |
| 代理行動服務費 Corporate Action Fee | 每手 1.2元 (\$1.2 per lot) |

行使認股證/備兌認股證 Warrants Subscription :

| | |
|------------------------------|---------------------|
| 手續費 Handling Charge | \$50 |
| 代理行動服務費 Corporate Action Fee | 每手 2元 (\$2 per lot) |

自願接受收購 Voluntary Takeover :

| | |
|------------------------------|--|
| 手續費 Handling Charge | \$50 |
| 代理行動服務費 Corporate Action Fee | 每手 2元 (\$2 per lot) |
| 政府從價稅 Stamp Duty | 成交每 1,000港元 收取 2港元 HKD2.0 per HKD1,000 Transaction Amount |

代客申請新股 IPO Application :

| | |
|--------------------|-----------------------------|
| 現金申請 | 100元 Cash Application \$100 |
| 保證金申請 | 200元另加融資利息* |
| Margin Application | \$200 plus interest* |

*按市場利率計算 Depends on the market rate

| | |
|---|---|
| 手續費 Handling Charge | \$200 |
| 股票託管費 Stock Custody Fee : | 每手 0.03元/每月 (\$0.03 per board lot per month) |
| 辦理索回未領取股份權益之手續費 Handling Charge for Unclaimed Entitlements | 每宗收費港幣800元及其他有關費用 (Handling Fee \$800 with other related expenses) |
| 提款 Fund Withdrawal: | |
| 港元支票 HKD cheque issuance | 免費 Free |
| 即日港元(本地)匯款CHATS | \$350 |
| 即日港元(外地)匯款 TT | \$350 |
| 雜費 Miscellaneous Charges | |
| 退回支票 Returned Cheque | \$150 |
| 補回月結單服務 Re-issue Monthly Statement | 每份150元 (\$150 per Statement) |

Remarks:

| | |
|--|--|
| *上述專案以外之服務收費，請與本公司另行商議 | *For any other services not mentioned above, please contact us to enquire further. |
| *除上述費用外，可能還涉及其他收費 | *Additional charges may be incurred. |
| *本公司保留更改以上收費之權利，恕不另行通知 | *The Company reserves the right to make changes to our fee schedules at anytime without prior notice. |
| ** ETF 認購/贖回，會以美元作為交易單位，其價格及所有產生之費用，會以美元計算。 | ** ETF subscription/redemption will be in U.S. dollars as the trading unit, and its price and all fees incurred will be calculated in U.S. dollars. |
| **一切匯率計算，均參考於港交所網頁內，用於計算印花稅的匯率。 | **All exchange rate calculations are based on the exchange rate used to calculate stamp duty on the Hong Kong Stock Exchange website. |
| ** 中央結算費 (CCASS Fee), 參與交易商佣金, 及參與交易商交收時所產生之費用，最終以參與交易商/相關銀行或其他參事者提供之結算內容/收費為準。客戶可向券商查詢收費內容。 | ** Central Clearing Fee (CCASS Fee), participating dealer commissions, and fees incurred by participating dealers during settlement are ultimately subject to the settlement content/charges provided by participating dealers/relevant banks or other participants. Customers can check with the broker for fees. |
| ** 申請手續費，以最終參與交易商之收費為準。如果認購/贖回執行時客戶人數多於一人，收費將會按照各客戶之手數比例平分。 | ** The application fee is based on the final fee charged by the participating dealer. If there are more than one customer when the subscription/redemption is executed, the fee will be divided equally according to the proportion of each customer's lot size. |
| ** 客戶除了要支付參與交易商佣金，同時也要支付公司與客戶定下之佣金收費。 | ** In addition to paying commissions to participating traders, customers also have to pay commission fees set by the company and customers. |
| ** 申請手續費，參與交易商佣金，及參與交易商交收所產生之費用，或其他，有機會因應各服務參事者的最終安排有所變化，見議客戶於每次認購/贖回前，向券商查詢。 | ** Application fees, participating dealer commissions, and fees incurred by participating dealers for settlement, or others, may change depending on the final arrangements of each service provider. Please consult with the customer before each subscription/redemption. , check with the broker |